

Express Mail No. EL755731162US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Julie D. Saba et al.

Application No.

10/053,510.

Filed

January 17, 2002

For

SPHINGOSINE-1-PHOSPHATE LYASE POLYPEPTIDES,

POLYNUCLEOTIDES AND MODULATING AGENTS AND

METHODS OF USE THEREFOR

Art Unit

1652

Docket No.

200116.402C2

Date

July 15, 2002

Box Missing Parts Commissioner for Patents Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated April 16, 2002, please find enclosed the following documents:

X Fee

X

Oath/Declaration

X

Copy of Notice to File Missing Parts

00500
PATENT TRADEMARK OFFICE

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Julie A. Urvater, Ph.D.

Registration No. 50,461

(JEP:cew) #278547



TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) | 9

| 939.00 |
|--------|
| |

| Complete if Known | | | |
|----------------------|------------------|--|--|
| Application Number | 10/053,510 | | |
| Filing Date | January 17, 2002 | | |
| First Named Inventor | Julie D. Saba | | |
| Examiner Name | | | |
| Group Art Unit | 1652 | | |
| Attorney Docket No. | 200116.402C2 | | |

| | METHOD | OF PAYMENT | | |
|--|-------------------------------|--|-----------------|--|
| | | UFFAIMENI | | |
| Payment Enclos | | , – | | |
| Check | Credit card | Money Order U Otl | her | |
| Deposit Accoun | t: | | | |
| Deposit Account Number | 19-1090 | | | |
| Deposit Account Name | Seed Intelle | ectual Property Law | Group | |
| | is authorized | to (check all that apply) |) | |
| Charge fee(s | s) indicated belo | ow Credit any | overpayments | |
| Charge any | additional fee(s) | during the pendency of the | his application | |
| Charge fee(s | s) indicated belo | ow, except for the filing f | ee | |
| Charge any | deficiencies | | | |
| to the above-identified | | nt | | |
| | | us. See 37 CFR 1.27. | | |
| Maria Maria | officer officery officer | us. 000 07 07 17 1.2 | <u> </u> | |
| | FEE CA | LCULATION | | |
| 1. BASIC FILING | FEE | | | |
| Large Entity Sm | nall Entity | | | |
| | ee Fee(\$) ode | Fee Description | Fee | |
| | oge 201 370 | Utility filing fee | Paid 370 | |
| | 206 165 | Design filing fee | 3/0 | |
| | 207 255 | Plant filing fee | | |
| | 208 370 | Reissue filing fee | | |
| 114 160 | 214 80 Provisional filing fee | | | |
| | | SUBTOTAL (1) | (\$) 370 | |
| 2. EXTRA CLAIM F | FES | | | |
| | | Fee Extra from Claims below | Fee Paid | |
| Total Claims 31 | 20 = | 11 * 9 | = 99 | |
| Independent Claims | -3 = | 5 * 42 | = 210 | |
| Multiple Dependent | | * 140 | = 140 | |
| | Small Entity | <u></u> | | |
| Fee Fee | Fee Fee | Fee Description | | |
| Code (\$) 103 18 | Code (\$) 203 | 9 Claims in excess of 2 | 20 | |
| 103 18 | 203 3 | | | |
| 104 280 | 204 14 | | | |
| 109 84 | 209 4 | ** Paissua indonand | | |
| 110 18 | 210 | ** Reissue claims in and over original p | | |
| | SUBTOTAL (2) (\$) 449 | | | |
| **or number previously paid, if greater; For Reissues, see above | | | | |

| | FEE CALCULATION (continued) | | | | | |
|---|-----------------------------|-------------|-------------|--|-------------|--|
| 3. ADDI | TIONAL | FEES | | ALCOLATION (COMMISSE) | _ | |
| Large E | | | <u>iall</u> | | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 65 | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| 139 | 130 | 139 | 130 | Non-English specification | | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | |
| 112 | 920° | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | 55 | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | |
| 119 | 320 | 219 | 160 | Notice of Appeal | | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | |
| 121 | 280 | 221 | 140 | Request for oral hearing | | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | |
| 143 | 460 | 243 | 230 | Design issue fee | | |
| 144 | 620 | 244 | 310 | Plant issue fee | | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| Other fee | (specify) | | | | | |
| | | | | | | |
| *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 120 | | | | | | |

| | SUBMITTED BY | | | - | 1 173 111 00 111 00 111 00 111 00 111 00 111 1771 |
|-----------------------|------------------|-----------------------|--------|---------|---|
| Name (Print/Type) | Julie A. Urvater | Registrat Attorney | | 50,461 | |
| Firm Name/ Address | | | | · | 00500 |
| Signature | Chili Vostatz | Date | July 1 | 5, 2002 | PATENT TRADEMARK OFFICE |

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE quired to respond to a collection of information unless it displace a unit ONB part of the page 10 of Please type a plus sign (+) inside this box -> +

FORM

(To be used for all correspondence after initial filing)

| re required to respond to a collection of in | formation unless it displays a valid OMB control number. | | |
|--|--|--|--|
| Application Number | 10/053,510 | | |
| Filing Date | January 17, 2002 | | |
| First Named Inventor | Julie D. Saba | | |
| Group Art Unit | 1652 | | |
| Examiner Name | | | |
| Attorney Docket No. | 200116.402C2 | | |

| ENCLOSURES (check all that apply) | | | | | | |
|--|---|--|--|--|--|--|
| Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement; Form PTO-1449 Cited References Certified Copy of Priority Document(s) Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 Response to Missing Parts/Incomplete Application | Assignment Papers (for an Application) Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Small Entity Statement Request for Refund | CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): Copy of Assignment: Copy of Notice to File Missing Parts of Nonprovisional Application | | | | |
| Remarks Remarks | | | | | | |
| | | | | | | |
| | RE OF APPLICANT, ATTORNEY, | OR AGENT | | | | |
| Individual Name Julie A. Urvater, Ph.D. Reg. No. 50,461 | | 00500 PATENT TRADEMARK OFFICE | | | | |

(JAU:cew0 #278550

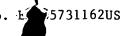
July 1/5, 2002

Signature

Date









United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER FILING/RECEIPT DATE APPLICATION NUMBER 01/17/2002 Julie D. Saba 10/053,510

200116.402C2

Date Mailed: 04/16/2002

CONFIRMATION NO. 3135

FORMALITIES LETTER

OC000000007871856

00500 -

SEED INTELLECTUAL PROPERTY WAW GROUP PLLC 701 FIFTH AVE

SUITE 6300

SEATTLE, WA 98104-7092

07/22/2002 EAREGAY1 00000029 10053510

01 FC:201 02 FC:202 03 FC:203 04 FC:205 FC:204

370.00 OP 210.00 OP 99.00 OP

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$258.
 - \$90 for 10 total claims over 20.
 - \$168 for 4 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 693.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE